



# Customer Information Form

## Schwab Capital Advisors | LLC

\_\_\_\_\_  
Name (Last, First, Middle or Company Name)

\_\_\_\_\_  
SSN/EIN

\_\_\_\_\_  
Address

\_\_\_\_\_  
City, State, Zip

\_\_\_\_\_  
Date of Birth

\_\_\_\_\_  
Dependents

\_\_\_\_\_  
Marital Status

\_\_\_\_\_  
Home Phone

\_\_\_\_\_  
Personal Email

\_\_\_\_\_  
Employer

\_\_\_\_\_  
Occupation / Title

\_\_\_\_\_  
Employer Address

\_\_\_\_\_  
Employer City, State, Zip

\_\_\_\_\_  
Nature of Business

\_\_\_\_\_  
Work Phone

\_\_\_\_\_  
Work Email

### Financials

#### Annual Income

- \$25-\$50K
- \$50-100K
- \$100-200K
- \$200-\$1,000K
- > \$1,000K

#### Liquid Net Worth

- \$5-\$25K
- \$25-\$50K
- \$50-\$100K
- \$100-\$500K
- \$500-\$1,000K
- \$1,000K-\$2,000K
- > \$2,000K

#### Total Net Worth

- \$5-\$25K
- \$25-\$50K
- \$50-\$100K
- \$100-\$500K
- \$500-\$1,000K
- \$1,000K-\$2,000K
- > \$2,000K

#### Bank Information

\_\_\_\_\_  
Bank

\_\_\_\_\_  
City

\_\_\_\_\_  
Account #

### Investment Experience

No/Yes and Number of Years:

\_\_\_\_\_  
Futures

\_\_\_\_\_  
Commodity Options

\_\_\_\_\_  
Commodity Funds

\_\_\_\_\_  
Stock/Bonds

\_\_\_\_\_  
Firms (open or closed – please indicate)

Type of Account:

- |  |  |  |
|--|--|--|
| <input type="checkbox"/> Individual        | <input type="checkbox"/> Joint Tenancy       | <input type="checkbox"/> Sole Proprietor |
| <input type="checkbox"/> Tenants in Common | <input type="checkbox"/> Corporate/Business  | <input type="checkbox"/> Trust           |
| <input type="checkbox"/> Estate            | <input type="checkbox"/> Limited Partnership | <input type="checkbox"/> Other:          |

<b>X</b> _____ Customer Signature	_____ Date
<b>X</b> _____ Customer Signature	_____ Date

Internal Use Only
_____ Account Name
_____
_____
_____ Special Instructions